

**COMMUNICATON SYSTEM  
WITH MULTI-BEAM COMMUNICATION ANTENNA**

The present invention relates to multiple beam antennas and specifically to such antenna used in communication systems. This application is a continuation-in-part application of Serial No. 09/952,591 filed September 14, 2001, Serial No. 09/847,629 filed May 2, 2001, Serial No. 09/872,542 filed June 2, 2001, Serial No. 09/872,621 filed June 2, 2001, and Serial No. 09/882,482 filed June 14, 2001, all of which are incorporated herein by reference.

**BACKGROUND OF THE INVENTION**

**Local Wireless Radio Communication**

Local wireless communication services represent a very rapidly growing industry. These services include paging and cellular telephone services. The cellular telephone industry currently is in its second generation with several types of cellular telephone systems being promoted. The cellular market in the United States grew from about 2 million subscribers and \$2 million in revenue in 1988 to more than 60 million subscribers about \$30 billion in revenue in 1998 and the growth is continuing in the United States and also around the world as the services become more available and prices decrease.

FIG. 1 describes a typical cellular telephone system. A cellular service provider divides its territory up into hexagonal cells as shown in FIG. 1. These cells may be about 5 miles across, although in densely populated regions with many users these cells may be broken up into much smaller cells called micro cells. This is done because cellular providers are allocated only a limited portion of the radio spectrum. For example, one spectral range allocated for cellular communication is the spectral range: 824 MHz to 901 MHz. (Another spectral range allocated to cellular service is 1.8 GHz to 1.9 GHz) A provider operating in the 824-901 MHz range may set up its system for the cellular stations to transmit in the 824 MHz to 851 MHz range and to receive in the 869 MHz to 901 MHz range. The transmitters both at the cellular stations and in devices used by subscribers operate at very low power (just a few Watts) so signals generated in a cell do not provide

interference in any other cells beyond immediate adjacent cells. By breaking its allocated transmitting spectrum and receive spectrum in seven parts (A-G) with the hexagonal cell pattern, a service provider can set up its system so that there is a two-cell separation between the same frequencies for transmit or receive, as shown in FIG. 1. A one-cell separation can be provided by breaking the spectrum into three parts. Therefore, these three or seven spectral ranges can be used over and over again throughout the territory of the cellular service provider. In a typical cellular system each cell (with a transmit bandwidth and a receive bandwidth each at about 12 MHz wide) can handle as many as about 1200 two-way telephone communications within the cell simultaneously. With lower quality communication, up to about 9000 calls can be handled in the 12 MHz bandwidth. Several different techniques are widely used in the industry to divide up the spectrum within a given cell. These techniques include analog and digital transmission and several techniques for multiplexing the digital signals. These techniques are discussed at pages 313 to 316 in *The Essential Guide to Telecommunications*, Second Edition, published by Prentice Hall and many other sources. Third generation cellular communication systems promise substantial improvements with more efficient use of the communication spectra.

### Other Prior Art Wireless Communication Techniques

#### Point-to-Point and Point-to-Multi-Point

Most wireless communication, at least in terms of data transmitted is one way, point to multi-point, which includes commercial radio and television. However, there are many examples of point-to-point wireless communication. Cellular telephone systems, discussed above, are examples of low-data-rate, point-to-multi-point communication. Microwave transmitters on telephone system trunk lines are another example of prior art, point-to-point wireless communication at much higher data rates. The prior art includes a few examples of point-to-point laser communication at infrared and visible wavelengths. Lens antennas for transceiving and receiving radio waves are known. Examples are described in Chapter 16, *Antenna Handbook*, edited by Lo and Lee, published by Van Naustrand Reinhold, New York.

## Information Transmission

Analog techniques for transmission of information are still widely used; however, there has recently been extensive conversion to digital, and in the foreseeable future transmission of information will be mostly digital with volume measured in bits per second. To transmit a typical telephone conversation digitally utilizes about 5,000 bits per second (5 Kbits per second). Typical personal computer modems connected to the Internet operate at, for example, 56 Kbits per second. Music can be transmitted point to point in real time with good quality using MP3 technology at digital data rates of 64 Kbits per second. Video can be transmitted in real time at data rates of about 5 million bits per second (5 Mbits per second). Broadcast quality video is typically at 45 or 90 Mbps. Companies (such as line telephone, cellular telephone and cable companies) providing point-to-point communication services build trunk lines to serve as parts of communication links for their point-to-point customers. These trunk lines typically carry hundreds or thousands of messages simultaneously using multiplexing techniques. Thus, high volume trunk lines must be able to transmit in the gigabit (billion bits, Gbits, per second) range. Most modern trunk lines utilize fiber optic lines. A typical fiber optic line can carry about 2 to 10 Gbits per second and many separate fibers can be included in a trunk line so that fiber optic trunk lines can be designed and constructed to carry any volume of information desired virtually without limit. However, the construction of fiber optic trunk lines is expensive (sometimes very expensive) and the design and the construction of these lines can often take many months especially if the route is over private property or produces environmental controversy. Often the expected revenue from the potential users of a particular trunk line under consideration does not justify the cost of the fiber optic trunk line. Digital microwave communication has been available since the mid-1970's. Service in the 18-23 GHz radio spectrum is called "short-haul microwave" providing point-to-point service operating between 2 and 7 miles and supporting between four to eight T1 links (each at 1.544 Mbps). Recently, microwave systems operating in the 11 to 38 Ghz band have been designed to transmit at rates up to 155 Mbps (which is a standard transmit frequency known as "OC-3 Standard") using high order modulation schemes.

## Data Rate and Frequency

Bandwidth-efficient modulation schemes allow, as a general rule, transmission of data at rates of about 1 to 8 bits per second per Hz of available bandwidth in spectral ranges including radio wave lengths to microwave wavelengths. Data transmission requirements of 1 to tens of Gbps thus would require hundreds of MHz of available bandwidth for transmission. Equitable sharing of the frequency spectrum between radio, television, telephone, emergency services, military and other services typically limits specific frequency band allocations to about 10% fractional bandwidth (i.e., range of frequencies equal to about 10% of center frequency). AM radio, at almost 100% fractional bandwidth (550 to 1650 GHz) is an anomaly; FM radio, at 20% fractional bandwidth, is also atypical compared to more recent frequency allocations, which rarely exceed 10% fractional bandwidth.

## Reliability Requirements

Reliability typically required for wireless data transmission is very high, consistent with that required for hard-wired links including fiber optics. Typical specifications for error rates are less than one bit in ten billion ( $10^{-10}$  bit-error rates), and link availability of 99.999% (5 minutes of down time per year). This necessitates all-weather link operability, in fog and snow, and at rain rates up to 100 mm/hour in many areas. On the other hand cellular telephone systems do not require such high reliability. As a matter of fact cellular users (especially mobile users) are accustom to poor service in many regions.

## Weather Conditions

In conjunction with the above availability requirements, weather-related attenuation limits the useful range of wireless data transmission at all wavelengths shorter than the very long radio waves. Typical ranges in a heavy rainstorm for optical links (i.e., laser communication links) are 100 meters, and for microwave links, 10,000 meters.

Atmospheric attenuation of electromagnetic radiation increases generally with frequency in the microwave and millimeter-wave bands. However, excitation of rotational modes in oxygen and water vapor molecules absorbs radiation preferentially in bands near 60 and

118 GHz (oxygen) and near 23 and 183 GHz (water vapor). Rain, which attenuates through large-angle scattering, increases monotonically with frequency from 3 to nearly 200 GHz. At the higher, millimeter-wave frequencies, (i.e., 30 GHz to 300 GHz corresponding to wavelengths of 1.0 centimeter to 1.0 millimeter) where available bandwidth is highest, rain attenuation in very bad weather limits reliable wireless link performance to distances of 1 mile or less. At microwave frequencies near and below 10 GHz, link distances to 10 miles can be achieved even in heavy rain with high reliability, but the available bandwidth is much lower.

#### Setting Up Additional Cells in a Telephone System is Expensive

The cost associated with setting up an additional cell in a new location or creating a micro cell within an existing cell with prior art techniques is in the range of about \$650,000 to \$800,000. (See page 895 Voice and Data Communication Handbook, Fourth Edition, published by McGraw Hill.) These costs must be recovered from users of the cellular system. People in the past have avoided use of their cellular equipment because the cost was higher than their line telephones. Recently, costs have become comparable.

#### Last Mile

There exists a major problem/opportunity in present day telecommunications that is most often referred to as the “last mile” problem. Local area networks (LANs) have been growing recently from 10 Mbps (million bits per second) to 100 Mbps to 1000 Mbps (i.e. 1 Gbps (gigabit per second)). Standards will soon be in place for 10 Gbps LAN hardware and software. Meanwhile wide area networks (WANs) have undergone a similar rapid growth. For example, UUNET has grown from (DS-3) 45 Mbps in 1996 to (OC-12) 622 Mbps in 1998 to (OC-48) 2.5 Gbps in 2000 to (OC-192) 10 Gbps in 2001. The “last mile” problem is that municipal area networks (MANs) have not been able to keep up with the phenomenal growth rate in LANs and WANs. For example, it has been common practice for several years to connect corporate LANs (now running at a typical 100 Mbps) to high speed WANs at a pitifully slow T-1 line rate (1.5 Mbps) which are relatively expensive (\$600 to \$5,000 per month depending on location). Clearly technology is needed to affordably increase data rates in MANs to levels more nearly

approximating those of the underlying LANs. The most direct method of achieving high data rates in the MAN involves laying fiber optic cable. Unfortunately this approach is very expensive, typically \$250,000 to \$500,000 per mile in metropolitan areas. Additionally, the process of laying fibers can encounter long delays (months or years) as streets and other public rights-of-way are dug up. Wireline approaches both telephone line-based and cable T.V.-based are more affordable, but suffer from limited bandwidth per customer.

### New Standards

Radio hardware will soon be available conforming to IEEE standard 802.11a which is capable of transmitting data at 20 to 56 Mbps per channel while operating at 5.8 GHz in a license free UNII band. Somewhat later, large numbers of such data streams will be wirelessly aggregated in a variety of locations including rooftops and windows. Both roof-top space and window space will become even more precious as the number of data streams grows. Therefore, antenna systems that are capable of simultaneously handling multiple data stream (i.e. multiple beams) will have great utility. Furthermore, multiple beam antenna systems will be enable lower base station installation costs per data stream since additional data streams can be added as simply as plugging in an additional radio transceiver.

### The Need

Therefore, a great need exists for techniques for increasing bandwidth available for communication systems by utilizing the same frequency bands over and over again.

## **SUMMARY OF THE INVENTION**

The present invention provides a wireless cellular communication system in which cellular base stations utilize multi-beam antennas to communicate with a large number of users. Each of the base station beams is a narrow beam of less than 10 degrees permitting reuse of available spectrum many times. A preferred antenna is described which broadcasts about 12 simultaneous beams, each about 5 degree, the 12 beams together

covering a fan arc of about 60 degrees with the beams overlapping somewhat. Interference is avoided by having adjacent beams broadcast at different frequencies within an authorized broadcast bandwidth. Six antennae mounted in a hexagonal manner thus cover a 360-degree azimuthal range. Preferably, users of the system communicate with the base station using a single narrow beam antenna directed at the base station. Since all beams are narrow many base stations may be located in the same cellular region permitting more than an order of magnitude increase in the utilization of available bandwidth and permitting a huge increase in bandwidth per customer. In a preferred embodiment the base stations communicate with a central office via a narrow-beam millimeter wave trunk line. The transceivers are equipped with antennas providing beam divergence small enough to ensure efficient spatial and directional partitioning of the data channels so that an almost unlimited number of point-to-point transceivers will be able to simultaneously use the same millimeter wave spectrum.

In a preferred embodiment the trunk line communication link operates within the 92 to 95 GHz portion of the millimeter spectrum. A first transceiver transmits at a first bandwidth and receives at a second bandwidth. A second transceiver transmits at the second bandwidth and receives at the first bandwidth. Trunk line antennas are described to maintain beam directional stability to less than one-half the half-power beam width. In a preferred embodiment the first and second spectral ranges are 92.3-93.2 GHz and 94.1-95.0 GHz and the half power beam width is about 0.36 degrees or less.

Thus, in this system an available low frequency bandwidth is efficiently utilized over and over again by dividing a territory into small cells and using low power antennas to produce a large number of narrow beams to communicate with a very large number of users. The higher frequency bandwidth is efficiently utilized over and over again by using transmitting antennas that are designed to produce very narrow beams directed at receiving antennae.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 is a sketch showing a prior art cellular network.

FIG. 2 is a sketch showing features of a single prior art cell.

FIG. 3 is a sketch of a preferred embodiment of the present invention.

FIG. 4 demonstrates up conversion from cell phone frequencies to trunk line frequencies.

FIG. 5 demonstrates down conversion from trunk line frequencies to cell phone frequencies.

FIG. 6 is a block diagram showing the principal components of a prepackaged cellular base station designed for roof-top installation.

FIG. 7 is a schematic diagram of a millimeter-wave transmitter of a prototype transceiver system built and tested by Applicants.

FIG. 8 is a schematic diagram of a millimeter-wave receiver of a prototype transceiver system built and tested by Applicants.

FIG. 9 is measured receiver output voltage from the prototype transceiver at a transmitted bit rate of 200 Mbps.

FIG. 10 is the same waveform as FIG. 9, with the bit rate increased to 1.25 Gbps.

FIGS. 11A and 11B are schematic diagrams of a millimeter-wave transmitter and receiver in one transceiver of a preferred embodiment of the present invention.

FIG. 12A and 12B are schematic diagrams of a millimeter-wave transmitter and receiver in a complementary transceiver of a preferred embodiment of the present invention.

FIGS. 13A and 13B show the spectral diagrams for a preferred embodiment of the present invention.

FIG. 14 is a sketch of a multi-beam antenna system.

FIG. 15A shows a hexagonal cluster of six multi-beam antennae showing a 360 degree coverage.

FIG. 15B shows two clusters with crossing beams.

FIG. 15C shows three clusters with crossing beams.

FIG. 16 is a block diagram of the multiple beam antenna system.

FIGS. 17A and 17B show a preferred multiple beam antenna system.

FIGS. 18A and 18B show the details of the antenna elements.

FIG. 19 shows details of an actual lens phase processor that was fabricated and tested by Applicants.

FIG. 20 shows a laboratory test set-up used to demonstrate the functionality of the lens phase processor.

FIGS. 21A, B and C show the results of such measurements performed at 6, 9 and 12 GHz respectively.

FIG. 22 shows 32 antenna elements 910 feeding elemental signals.

FIGS. 23A, B and C show the off-axis results for the range tests of the lens phase processor at 6, 9 and 12 GHz respectively.

## **DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS**

Preferred embodiments of the present invention can be described by reference to the drawings.

### **MULTI-BEAM ANTENNA FOR CELULAR COMMUNICATION**

#### **Preferred Multi-Beam Antenna**

FIG. 17 is a drawing of a preferred embodiment of the multiple beam antenna system.

FIG. 16 is a block diagram of the system. The antenna can function as a receive antenna or a transmit antenna or a single antenna can function for both transmitting and receiving. Preferably the antennas are used in the embodiments described below in pairs, one transmitting and the other receiving. When functioning as a receive antenna, rf signals are received by a linear array of antenna elements 110. The elemental signals are then passed through bootlace cables 120, for example Series 461 cables available from Tenso Lite – QMI of Willmington, MA. The cables 120 connect to antenna-lens feeds 130 via cable connectors 125, for example SMA surface mount coaxial connectors model 4467193 made by Rosenberger of Lancaster, PA. The antenna-lens feeds 130 are, for example, horn features etched on a top conducting layer 132 of a conductor/dielectric/conductor sandwich structure 134, shown in FIGS. 17A and 17B, which also show dielectric 136 and ground plane 138. The conductor/dielectric/conductor sandwich structure 134 is provided, for example, by a Rogers Duroid model 6010 with a dielectric constant of approximately 10.2 with dimensions of 22" by 22" and a thickness of 0.025". The top and bottom conductor layers 132 and 138 are preferably copper and layer 132 is preferably topped with a

nickel/gold overcoat to provide an electrically and mechanically secure base for solder attaching the connectors 125 as shown in FIG. 17.

FIG. 18A shows a top view of 4 elements of a microstrip antenna 310, an example of a linear array of antenna elements that can be used for the instant invention. Microstrip antenna 310 comprises lines 320, which in turn comprise feeds 330, radiating elements 340, and matched load 350. FIG. 18B shows an end view of the microstrip antenna 310 including a ground plane 360, dielectric layer 370, and top surface 380. The radiating elements 340 are spaced approximately (but not exactly equal to) one wavelength apart so radiation will propagate approximately normal off the plane of the microstrip antenna 310. It is important that the radiating elements 340 be spaced not exactly equal to one wavelength apart so to avoid a well-known reflection problem that would otherwise occur in this, or any similar, repetitive element antenna. The length of the radiating elements 340 should be about one-half wavelength to provide highly efficient coupling (i.e. to enable a large fraction of the electromagnetic wave energy traveling down the lines 320, from the feeds 330, to be transmitted out the top surface 380). For a 5.8 GHz antenna, the length of the radiating elements 340 should be roughly 2.6 cm and the spacing should be about 5.2 cm. Matched load 350 comprises a microstrip 352, with impedance approximately equal to the impedance of microstrip 354, and a lossy cover 356 (made, for example of Ecosorb, available from Millimeter Wave Technology, Inc., Passaic, New Jersey) which effectively absorbs any residual electromagnetic wave energy that is propagating down the microstrip 352 and thereby essentially eliminating any reflected wave from an microstrip end 358 of microstrip 352 from negatively affecting the performance of the radiating elements 340.

The cables 120 connect to antenna elements 110 via cable connectors 135 such as SMA surface mount coaxial connectors model 4467193 made by Rosenberger of Lancaster, PA. The antenna elements 110 are microstrip features etched on a top conducting layer 390 of a conductor/dielectric/conductor sandwich structure 395, shown in Fig. 3b, which further comprises dielectric 370 and ground plane 360. The conductor/dielectric/conductor sandwich structure 395 is provided, for example, by a

Cuflon with a dielectric constant of approximately 2.1 available from Polyflon Company, Norwalk, CT. The top and bottom conductor layers 390 and 360 are preferably copper and layer 390 is preferably topped with a nickel/gold overcoat to provide an electrically and mechanically secure base for solder attaching the cable connectors 135.

A phase processing lens 140 is created by etching away part of the conductor of the top layer 132 of the conductor/dielectric/conductor sandwich structure 134 with the pattern as shown in Fig. 19. A focused signal (phase processed signal corresponding to a given direction in space) then emerges at one of seventy-four transceiver-lens feeds 151. Transceiver-lens feeds 150 are horn features etched on the top conducting layer 132 of the conductor/dielectric/conductor sandwich structure 134. Connectors 155 are SMA surface mount coaxial connectors model 4467193 made by Rosenberger of Lancaster, PA are attached preferably by solder to the top-conducting layer 132. Individual connector 155A is attached to transceiver-lens feed 151. The focused signal passes through transceiver-lens feed 151 and individual connector 155A via cable 158 which may be Series 461 cable available from Tenso Lite – QMI of Willmington, MA, to a transceiver 160. The transceiver 160 can be a model UNII-Link Ethernet to radio transceiver available from Antron of State College, PA. The model UNII-Link Ethernet to radio transceiver has a band of operation from 5.725 GHz to 5.825 GHz (Unlicensed National Information Infrastructure) operating under FCC Part 15.401, with a transmit power of 1 Watt, using Direct Sequence Spread Spectrum with a data rate of 11, 5.5, 2, 1 Mbps, user selectable, and a data interface which is Full Duplex 10Base-T, RJ-45 Connector, which complies with 802.3x (full duplex Ethernet) with management by Simple Network Management Protocol (SNMP compatible). Transceiver 160 is, in turn, connected to network hardware (e.g. an Ethernet-based local area network) 170.

FIG. 19 shows details of an actual lens phase processor that was fabricated and tested. Horn-shaped features 400 on the left and right side provide anechoic walls to the side boundaries of the lens structure. Horn-shaped features 400 may optionally be covered by Ecosorb, available from Millimeter Wave Technology, Inc., Passaic, New Jersey. The radius of curvature of a top arc 410, upon which the antenna-lens feed horns 130 are

situated, is about 1.8 times larger than the radius of curvature of a focal arc 420 upon which the receiver-lens feed horns 150 sit. Also, the center of curvature of the top arc 410 is located at the mouth of feed horn 151. The small island features which can be seen within all of the feed horns exist to suppress potential transverse multi-moding which might otherwise occur within the widest portions of the feed horns.

FIG. 20 shows a laboratory test set-up used to demonstrate the functionality of the lens phase processor. A 6 GHz test signal was generated in a network analyzer 510A ( Hewlett Packard model number 8720 C and sent out Port 1), then sent via cable to a four-way splitter 520, then sent via cable to four, eight-way splitters 530, 532, 534 and 536 thus creating 32 elemental signal that were sent via cables to antenna-lens feed horns 130 through connectors 125. Since all of the cables at each stage of the splitting process were matched to equal lengths, the phases of each of the elemental signals entering the antenna-lens feed horns 130 were equal. Because of this prearrange equality of the phases, this laboratory demonstration tested the lens phase processor to show that it could focus the incoming energy into a narrow beam at a focal arc 420 of the lens phase processor. The power collected by the transceiver-lens feeds was measured, one feed at a time, by attaching a network analyzer 510B ( Hewlett Packard model number 8720 C and sensing in Port 2 ) via a cable to each of the connectors 155.

FIG. 21A, B and C show the results of such measurements performed at 6, 9 and 12 GHz respectively. The data clearly show that most of the power which is transmitted through the lens phase processor is focused on one, or at most two, ports. Thus the test shows that the lens can focus energy coming from multiple elements for a transmitter located directly in a broadside angle relative to the linear array antenna.

A similar test, but this time a range test, was also performed with the lens phase process to determine its ability to function with off-axis signal beams. FIG. 22 shows 32 antenna elements 910 feeding elemental signals to down converters 920 which in turn feed down converted elemental signals into antenna-lens feed horns 130 of lens processor 140. In the specific case of this test, the antenna elements 910 were comprised of frequency

scanned antennas operating in the 90 to 96 GHz range. This unusual choice of antenna elements was purely a matter of experimental convenience since these measurements were performed in a laboratory which is well stocked with equipment for passive millimeter wave imaging at W-band. Thus a W-band source was placed out on an antenna range and moved to various angles relative to the line antenna elements. Since we wanted to test the lens at lower frequency (6 to 12 GHz) each of the elemental signal were down converted by 84 GHz. Thus 90 GHz received at the antenna elements was down converted to 6 GHz. In a later test 93 GHz received at the antenna elements was down converted to 9 GHz. In a final test 96 GHz received at the antenna elements was down converted to 12 GHz.

FIG. 23A, B and C show the off-axis results for the range tests of the lens phase processor at 6, 9 and 12 GHz respectively. Fig. 23A demonstrates that of the lens phase processor at 6 GHz can distinguish between beams that are just 1 degree apart. FIG. 23C demonstrates that of the lens phase processor at 12 GHz can stare over a plus or minus 7.5 plus degree angular range (i.e. 15 degrees total). The particular ranges and resolutions demonstrated here are dependent, in this case on the W-band antenna. Other performance parameters could be demonstrated with the use of other antenna configurations. Beam steering to plus or minus 30 degrees is possible with minimal aberrations in the lens according to calculations. The main point demonstrated here is that off-axis beam handling is well performed by this lens phase processor. At least three versions of this antenna can be produced:

Lowest cost, simplist,

High cost, high performance, and

Intermediate cost, intermediate performance.

The lowest cost, simplist version uses a single transmitter (receiver) channel. The transmitter and receiver can be combined into a single transceiver module. The feed to beamforming lens is mechanically moved to phase steer the beam. The movement can be accomplished manually or automatically by means of an electrically motorized actuator to provide a rapid provisioning capability. Provisioning times on the order of seconds

can be achieved which means that slow TDMA (time division multiple access) utilization of the antenna can be obtained.

In the high cost, high performance version all phase angle channels are populated with transmitters (receivers). Because all the channels are occupied, there is no need for mechanical motion of feeds at any time, (i.e. all channels have fully-dedicated transmitters (receivers)). To minimize self interference problems, the preferred embodiment of this version will have independent transmit and receive antennas. This will keep the relatively high power, essentially always on, transmitted signals from drowning out the relatively lower power received signals. Since each channel has a fully-dedicated transmitter/receiver extremely rapid provisioning can be possible (since there is no need to wait for any mechanical movement of any subsystem parts). Also, since all channels are occupied, there is no need for TDMA in this version.

In the intermediate cost, intermediate performance version, several phase angle channels are populated with transmitters (receivers). In this version there is no need for mechanical motion during use, only during provisioning. All communication channels can have fully-dedicated transmitters (receivers) so there is no need for TDMA. The cost advantage (relative to the high cost, high performance version) comes from reduced number of excess channel transmitters and receivers.

### Multiple Narrow Beam Cellular System

FIG. 14 shows a sketch of a multi-beam antenna system that wirelessly communicates with single narrow beam antennas at a distance. Multi-beam antenna 10 sits atop building 20 and transmits and/or receives wireless signals to and from single beam antennas 30 that sit atop buildings 40. The antenna is very short in the vertical direction resulting in a fan beam (relatively large divergence angle) in the vertical direction. This permits communication with antennae positioned at a wide range of vertical positions. In the horizontal direction the antenna is long and produces about 12 very narrowly diverging beams in the horizontal direction. By arranging to properly phase delay the elements in the horizontal direction it is possible to direct the separate beams over a range

of angles in the horizontal plane. This type of antenna is therefore called a one-dimensional phase scanning antenna.

For an antenna, located atop a relatively tall building (i.e. taller than many of the surrounding buildings) the vertical angle that needs to be covered by the fan beam is about 20 degrees. This can be accomplished by an antenna that is about three wavelengths long in the vertical direction. For example, at 5.8 GHz, three wavelengths would be about 15 cm (6 inches). This length can be shortened, resulting in a broader beam, which would accommodate a wider variety of possible angles. However, a broader beam would also result in lower power density at the receiver locations. Thus, the angle selected here for this preferred embodiment is suggested as a good starting point. In this preferred embodiment antennae each produce about 12 narrow beams spread over about 60 degrees. Preferably, for high data rate communication the antennas will be provided in pairs for each set of beams shown in the figure, one antenna used to transmit and one used to receive.

FIG. 15A shows a hexagonal cluster of a multi-beam antenna systems, forming a highly-sectorialized base station, that wirelessly communicates with antennas at a distance. Each multi-beam antenna can communicate with up to 12 groups of remote sites (one group per beam).

The FCC has allocted 300 MHz of spectrum for unlicensed operation in the 5 GHz block; 200 MHz of which is at 5.15 MHz to 5.35 MHz, with the other 100 MHz at 5.725 MHz to 5.825 MHz. The spectrum is split into three working “domains”. The first 100 MHz in the lower section is restricted to a maximum power output of 50 milliWatt. The second 100 MHz has a more generous 250 mW power budget, while the top 100 MHz is delegated for outdoor applications, with a maximum of 1 Watt power output. There are eight clear channels in the lower 200 MHz of the 5 GHZ spectrum, and four in the upper 100 MHz, giving a total of 12 clear channels. Each clear channel contains 52 sub-channels. The COFDM (coded orthogonal frequency division multiplexing) implementation of IEEE 802.11a uses 48 of these sub-channels for data while the

remaining four are used for error correction. Each sub-channel in the COFDM is about 300 KHz wide.

Each beam can provide communication with about 6 clear channels of different customers. (Alternating physical beams use alternating sets of 6 each of the possible 12 clear channels.) The IEEE 802.11a standard shares each 20 MHz clear channel in time using CSMA/CA (carrier sense multiple access with collision avoidance. For data applications without QOS (quality of service) concerns numerous remote sites can be assigned to each channel. If one assumes that 10 remote sites are assigned to each channel, then up to 60 remote sites can be observed per beam. Thus, for each multi-beam antenna, each having 12 beams, up to 720 remote sites can be linked to the base station. Since the hexagonal cluster has six such multi-beam antennas, a total of 4,320 remote sites can be served.

In communications applications where QOS is important, a single remote site can be assigned to each channel. If one assumes that 1 remote site is assigned to each channel, then up to 6 remote sites can be served per beam. Thus for each multibeam antenna having 12beams up to 72 remote sites can be linked to the base station. Since the hexagonal cluster has six such multi-beam antennas, a total of 432 remote sites can be served while simultaneously assuring QOS.

FIG. 15B shows a that a second hexagonal cluster of a multi-beam antenna systems can be assembled nearby, forming a second base station. Since the customer antennas that are located at the remote sites can be highly directional (e.g. a 5.8 GHz 2 foot dish gives a 5 degree beam at the customer end) the second base station can be located very near the first base station without causing interference. FIG. 15C shows a that a third hexagonal cluster of a multi-beam antenna systems can be assembled nearby, forming a third base station. Again, since the antennas that are located at the remote sites can be highly directional the third base station can be located very near the first and second base station without causing interference. This process can be repeated a very large number of times to serve a huge number of fixed wireless customers. The number of base stations that can

point toward a single remote site is only limited to the beam width of the remote site's antenna. For the present example, with a 5-degree beam-width at the remote site's antenna, a total of 72 (360/5) base stations could theoretically be located in such a region.

### Mobile Telephones

The above described last-mile communication system is particularly suited for fixed wireless applications. However, with suitable modifications, this invention can be applied to mobile wireless uses as well. The modifications that would be required include hands-off provisions in the base stations and automatically redirecting antennas at the mobile users. The automatically redirected antennas could be mechanically redirected electrically re-directed or a combination of mechanical and electrical redirection (e.g. mechanical steering in one axis and electrical steering in the other axis).

### TRUNK LINE LINK

A preferred embodiment of the present invention comprises a system of linked millimeter-wave radios that take the place of wire or fiber optic links between the cells of a cellular network and for connecting those cells to a telephone central switching office. The use of the millimeter-wave links can eliminates the need to lay cable or fiber, can be installed relatively quickly, and can provide high bandwidth normally at a lower cost than standard telecom-provided wires or cable. Since the millimeter-wave links simply up and down convert the signal for point-to-point transmission, the data and protocols used by the original signals are preserved, making the link 'transparent' to the user. This trunk line supports the multi-beam based cellular network described above and/or a conventional cellular system operating at standard cellular telephone frequencies, but it is equally applicable to other, newer technologies such as 1.8 GHz to 1.9 GHz PCS systems.

A typical prior art cell phone base station transmits in the 824-851 MHz band and receives in the 869-901 MHz band and is connected mobile telephone switching office by wire connections which is in turn connected to a central office via a high speed wired connection. The central office performs call switching and routing. It is possible to

replace both wired links with a millimeter-wave link, capable of carrying the signals from several cellular base stations to the central office for switching and routing, and then back out again to the cellular base stations for transmission to the users' cellular phones and other communication devices. A millimeter-wave link with 1 GHz of bandwidth will be capable of handling approximately 30 to 90 cellular base stations of the type shown in FIG. 15A, depending on the bandwidth of the base stations. Since the cellular base stations are typically within a few miles (or less for micro cells) of each other, the millimeter-wave link would form a chain from base station to base station, then back to the central office. FIG. 3 illustrates the basic concept.

Most wireless computer networking equipment on the market today is designed according to IEEE standards 802.11a and 802.11b that describe a format and technique for packet data interchange between computers. In this equipment the 802.11b - formatted data is transmitted and received on one of eleven channels in the 2.4-2.5 GHz band and uses the same frequencies for transmit and receive. Therefore, in this preferred embodiment the cellular stations all operate on a slice of the 2.4 to 2.5 GHz band using equipment built in accordance with the above IEEE standards. An up/down converter is provided to up and down convert the information for transmittal on the millimeter wave links. The up/down converter is described below. Typically, base stations are organized in generally hexagonal cells in groups of 7 cells as shown in FIG. 1. In order to avoid interference, each of the 7 cells operate at a different slice of the available bandwidth in which case each frequency slice is separated by two cells. If 3 different frequencies are used in the group of 7 cells, there is a one-cell separation of frequencies.

#### **Cellular Base Station Transmission Back to Central Office**

Cell phone calls are received in the 824-851 MHz band at each group of base stations, and up-converted to a 27 MHz slot of frequencies in the 91-93 GHz band for transmission over the link back to the central office. Each group of base stations is allocated a 27 MHz slice of spectrum in the 91-93 GHz band as follows:

Base Station	Base Station Frequency	Trunk Line Frequency
<b>Group Number</b>		
1	824 - 851 MHz	91.000 - 91.027 GHz
2	824 - 851 MHz	91.027 - 91.054 GHz
3	824 - 851 MHz	91.054 - 91.081 GHz
.	.	.
.	.	.
.	.	.
30	824 - 851 MHz	91.783 - 91.810 GHz
31	824 - 851 MHz	91.810 - 91.837 GHz
32	824 - 851 MHz	91.837 - 91.864 GHz

FIG.4 shows a block diagram of a system that converts the cellular base station frequencies up to the millimeter-wave band for transmission back to the central office. Each base station receives both the cell phone frequencies within its cell, and the millimeter-wave frequencies from the earlier base station in the chain. The cell-phone frequencies are up-converted to a slot (of spectrum) in the 91-93 GHz band and added to the 91-93 GHz signals from the earlier base station up the chain. The combined signals are then retransmitted to the next base station in the chain. Each base station has a local oscillator set to a slightly different frequency, which determines the up-converted frequency slot for that base station. The local oscillator may be multiplied by a known pseudo-random bit stream to spread its spectrum and to provide additional security to the millimeter-wave link.

At the telephone company central switching office, each 27 MHz slot of frequencies in the 91-93 GHz band is downconverted to the cellular telephone band. If a spread-spectrum local oscillator was used on the millimeter-wave link, the appropriate pseudo random code must be used again in the downconverter's local oscillator to recover the

original information. Once the millimeter-wave signals are downconverted to the cell phone band, standard cellular equipment is used to detect, switch, and route the calls.

### **Central Office Transmission to Cellular Base Stations**

Cell phone calls leave the central office on a millimeter-wave link and each group of cellular base stations downconverts a 32 MHz slice of the spectrum to the cell phone band for transmission to the individual phones. The cellular base stations transmit (to the phones) in the 869-901 MHz band so each group of base stations requires a 32 MHz slice of the spectrum in the 91-93 GHz range on the millimeter wave link. The 1.024 GHz will support 32 base stations. Each group of base stations is allocated a 32 MHz slice of spectrum in the 91-93 GHz band as follows:

Base station # Trunk Line Frequencies (link RX) converts to Base Station (cell TX)

Base Station	Trunk Line Frequency	Base Station Frequency
<b>Group Number</b>		
1	92.000 - 92.032 GHz	869 - 901 MHz
2	92.032 - 92.064 GHz	869 - 901 MHz
3	92.064 - 92.096 GHz	869 - 901 MHz
.	.	.
.	.	.
30	92.928 - 92.960 GHz	869 - 901 MHz
31	92.960 - 92.992 GHz	869 - 901 MHz
32	92.992 - 93.024 GHz	869 - 901 MHz

FIG. 5 shows a block diagram of a system that receives millimeter-wave signals from the central office and converts them to the cellular band for transmission by a cell base station. Each base station receives picks off the signals in its 32 MHz slice of the 91-93 GHz spectrum, down-converts this band to the cell phone band, and broadcasts it. The

91-93 GHz band is also retransmitted to the next base station in the chain. Each base station has a local oscillator set to a slightly different frequency, which determines the 32 MHz wide slot (in the 91-93 GHz band) that is assigned to that base station. If a spread-spectrum local oscillator was used on the up-conversion at the central office, then the appropriate pseudo random code must be used again in the down-converter's local oscillator (at each base station) to recover the original information.

At the telephone company central switching office calls are detected, switched, and routed between the various cellular base stations and the landline network. Each group of cellular base stations at the central office is represented by a 32 MHz wide slot of spectrum, which is up-converted to the 91-93 GHz band and sent out over a point-to-point link to the chain of several base stations. The local oscillator used to up-convert the signals may be spread-spectrum to provide additional security to the millimeter-wave link.

#### Trunk Line Support for Multi-Beam Based Cellular Network

Communication via the trunk line between the multi-beam base stations and between the telephone central office and the multi-beam based cellular networks are handled in the same manner as described in the preceding two sections for conventional cellular base stations. The lower frequency signals are multiplexed into and out of the high frequency trunk.

#### Prototype Demonstration of MM Wave T/R

A prototype demonstration of the millimeter-wave transmitter and receiver useful for the present invention is described by reference to FIGS. 1 to 4. With this embodiment the Applicants have demonstrated digital data transmission in the 93 to 97 GHz range at 1.25 Gbps with a bit error rate below  $10^{-12}$ .

The circuit diagram for the millimeter-wave transmitter is shown in FIG. 7. Voltage-controlled microwave oscillator 1, Westec Model VTS133/V4, is tuned to transmit at 10 GHz, attenuated by 16 dB with coaxial attenuators 2 and 3, and divided into two channels in two-way power divider 4. A digital modulation signal is pre-amplified in amplifier 7,

and mixed with the microwave source power in triple-balanced mixer 5, Pacific Microwave Model M3001HA. The modulated source power is combined with the un-modulated source power through a two-way power combiner 6. A line stretcher 12 in the path of the un-modulated source power controls the depth of modulation of the combined output by adjusting for constructive or destructive phase summation. The amplitude-modulated 10 GHz signal is mixed with a signal from an 85-GHz source oscillator 8 in mixer 9 and high-pass filtered in waveguide filter 13 to reject the 75 GHz image band. The resultant, amplitude-modulated 95 GHz signal contains spectral components between 93 and 97 GHz, assuming unfiltered 1.25 Gbps modulation. A rectangular WR-10 wave guide output of the high pass filter is converted to a circular wave guide 14 and fed to a circular horn 15 of 4 inches diameter, where it is transmitted into free space. The horn projects a half-power beam width of 2.2 degrees.

The circuit diagram for the receiver is shown in FIG. 8. The antenna is a circular horn 1 of 6 inches in diameter, fed from a waveguide unit 14R consisting of a circular W-band wave-guide and a circular-to-rectangular wave-guide converter which translates the antenna feed to WR-10 wave-guide which in turn feeds heterodyne receiver module 2R. This module consists of a monolithic millimeter-wave integrated circuit (MMIC) low-noise amplifier spanning 89-99 GHz, a mixer with a two-times frequency multiplier at the LO port, and an IF amplifier covering 5-15 GHz. These receivers are available from suppliers such as Lockheed Martin. The local oscillator 8R is a cavity-tuned Gunn oscillator operating at 42.0 GHz (Spacek Model GQ410K), feeding the mixer in module R2 through a 6 dB attenuator 7. A bias tee 6 at the local oscillator input supplies DC power to receiver module 2R. A voltage regulator circuit using a National Semiconductor LM317 integrated circuit regulator supplies +3.3V through bias tee 6. An IF output of the heterodyne receiver module 2R is filtered at 6-12 GHz using bandpass filter 3 from K&L Microwave. Receiver 4R which is an HP Herotek Model DTM 180AA diode detector, measures total received power. The voltage output from the diode detector is amplified in two-cascaded microwave amplifiers 5R from MiniCircuits, Model 2FL2000. The baseband output is carried on coax cable to a media converter for conversion to optical fiber, or to a Bit Error-Rate Tester (BERT) 10R.

In the laboratory, this embodiment has demonstrated a bit-error rate of less than  $10^{-12}$  for digital data transmission at 1.25 Gbps. The BERT measurement unit was a Microwave Logic, Model gigaBERT. The oscilloscope signal for digital data received at 200 Mbps is shown in FIG. 9. At 1.25 Gbps, oscilloscope bandwidth limitations lead to the rounded bit edges seen in FIG. 10. Digital levels sustained for more than one bit period comprise lower fundamental frequency components (less than 312 MHz) than those which toggle each period (622 MHz), so the modulation transfer function of the oscilloscope, which falls off above 500 MHz, attenuates them less. These measurement artifacts are not reflected in the bit error-rate measurements, which yield  $<10^{-12}$  bit error rate at 1.25 Gbps.

### Transceiver System

A preferred embodiment of the present invention is described by reference to FIGS. 11A to 13B. The link hardware consists of a millimeter-wave transceiver pair including a pair of millimeter-wave antennas and a microwave transceiver pair including a pair of microwave antennas. The millimeter wave transmitter signal is amplitude modulated and single-sideband filtered, and includes a reduced-level carrier. The receiver includes a heterodyne mixer, phase-locked intermediate frequency (IF) tuner, and IF power detector.

Millimeter-wave transceiver A (FIGS. 11A and 11B) transmits at 92.3-93.2 GHz as shown at 60 in FIG. 13A and receives at 94.1-95.0 GHz as shown at 62, while millimeter-wave transmitter B (FIGS. 12A and 12B) transmits at 94.1-95.0 GHz as shown at 64 in FIG. 13B and receives at 92.3-93.2 GHz as shown at 66.

#### Millimeter Wave Transceiver A

As shown in FIG. 11A in millimeter-wave transceiver A, transmit power is generated with a cavity-tuned Gunn diode 21 resonating at 93.15 GHz. This power is amplitude modulated using two balanced mixers in an image reject configuration 22, selecting the lower sideband only. The source 21 is modulated at 1.25 Gbps in conjunction with Gigabit-Ethernet standards. The modulating signal is brought in on optical fiber,

converted to an electrical signal in media converter 19 (which in this case is an Agilent model HFCT-5912E) and amplified in preamplifier 20. The amplitude-modulated source is filtered in a 900 MHz-wide passband between 92.3 and 93.2 GHz, using a bandpass filter 23 on microstrip. A portion of the source oscillator signal is picked off with coupler 38 and combined with the lower sideband in power combiner 39, resulting in the transmitted spectrum shown at 60 in FIG. 13A. The combined signal propagates with horizontal polarization through a waveguide 24 to one port of an orthomode transducer 25, and on to a two-foot diameter Cassegrain dish antenna 26, where it is transmitted into free space with horizontal polarization.

The receiver at Station A as shown on FIGS. 11B1 and 11B2 is fed from the same Cassegrain antenna 26 as is used by the transmitter, at vertical polarization (orthogonal to that of the transmitter), through the other port of the orthomode transducer 25. The received signal is pre-filtered with bandpass filter 28A in a passband from 94.1 to 95.0 GHz, to reject back scattered return from the local transmitter. The filtered signal is then amplified with a monolithic MMW integrated-circuit amplifier 29 on indium phosphide, and filtered again in the same passband with bandpass filter 28B. This twice filtered signal is mixed with the transmitter source oscillator 21 using a heterodyne mixer-downconverter 30, to an IF frequency of 1.00-1.85 GHz, giving the spectrum shown at 39A in FIG. 13A. A portion of the IF signal, picked off with coupler 40, is detected with integrating power detector 35 and fed to an automatic gain control circuit 36. The fixed-level IF output is passed to the next stage as shown in FIG. 11B2. Here a quadrature-based (I/Q) phase-locked synchronous detector circuit 31 is incorporated, locking on the carrier frequency of the remote source oscillator. The loop is controlled with a microprocessor 32 to minimize power in the "Q" channel while verifying power above a set threshold in the "I" channel. Both "I" and "Q" channels are lowpass-filtered at 200 MHz using lowpass filters 33A and 33B, and power is measured in both the "I" and Q channels using square-law diode detectors 34. The baseband mixer 38 output is pre-amplified and fed through a media converter 37, which modulates a laser diode source into a fiber-optic coupler for transition to optical fiber transmission media

## Transceiver B

As shown in FIG. 12A in millimeter-wave transceiver B, transmit power is generated with a cavity-tuned Gunn diode 41 resonating at 94.15 GHz. This power is amplitude modulated using two balanced mixers in an image reject configuration 42, selecting the upper sideband only. The source 41 is modulated at 1.25 Gbps in conjunction with Gigabit-Ethernet standards. The modulating signal is brought in on optical fiber as shown at 80, converted to an electrical signal in media converter 60, and amplified in preamplifier 61. The amplitude-modulated source is filtered in a 900 MHz-wide passband between 94.1 and 95.0 GHz, using a bandpass filter 43 on microstrip. A portion of the source oscillator signal is picked off with coupler 48 and combined with the higher sideband in power combiner 49, resulting in the transmitted spectrum shown at 64 in FIG. 13B. The combined signal propagates with vertical polarization through a waveguide 44 to one port of an orthomode transducer 45, and on to a Cassegrain dish antenna 46, where it is transmitted into free space with vertical polarization.

The receiver is fed from the same Cassegrain antenna 46 as the transmitter, at horizontal polarization (orthogonal to that of the transmitter), through the other port of the orthomode transducer 45. The received signal is filtered with bandpass filter 47A in a passband from 92.3 to 93.2 GHz, to reject backscattered return from the local transmitter. The filtered signal is then amplified with a monolithic MMW integrated-circuit amplifier on indium phosphide 48, and filtered again in the same passband with bandpass filter 47B. This twice filtered signal is mixed with the transmitter source oscillator 41 using a heterodyne mixer-downconverter 50, to an IF frequency of 1.00-1.85 GHz, giving the spectrum shown at 39B in FIG. 13B. A portion of the IF signal, picked off with coupler 62, is detected with integrating power detector 55 and fed to an automatic gain control circuit 56. The fixed-level IF output is passed to the next stage as shown on FIG. 12B2. Here a quadrature-based (I/Q) phase-locked synchronous detector circuit 51 is incorporated, locking on the carrier frequency of the remote source oscillator. The loop is controlled with a microprocessor 52 to minimize power in the "Q" channel while verifying power above a set threshold in the "T" channel. Both "T" and "Q" channels are lowpass-filtered at 200 MHz using a bandpass filters 53A and 53B, and power is

measured in each channel using a square-law diode detector 54. The baseband mixer 58 output is pre-amplified and fed through a media converter 57, which modulates a laser diode source into a fiber-optic coupler for transition to optical fiber transmission media.

#### Very Narrow Beam Width

A dish antenna of two-foot diameter projects a half-power beam width of about 0.36 degrees at 94 GHz. The full-power beamwidth (to first nulls in antenna pattern) is narrower than 0.9 degrees. This suggests that up to 400 independent beams could be projected azimuthally around an equator from a single transmitter location, without mutual interference, from an array of 2-foot dishes. At a distance of five miles, two receivers placed 400 feet apart can receive independent data channels from the same transmitter location. Conversely, two receivers in a single location can discriminate independent data channels from two transmitters ten miles away, even when the transmitters are as close as 400 feet apart. Larger dishes can be used for even more directivity.

#### Backup Microwave Transceiver Pair

During severe weather conditions data transmission quality will deteriorate at millimeter wave frequencies. Therefore, in preferred embodiments of the present invention a backup communication link is provided which automatically goes into action whenever a predetermined drop-off in quality transmission is detected. A preferred backup system is a microwave transceiver pair operating in the 10.7-11.7 GHz band. This frequency band is already allocated by the FCC for fixed point-to-point operation. FCC service rules parcel the band into channels of 40-MHz maximum bandwidth, limiting the maximum data rate for digital transmissions to 45 Mbps full duplex. Transceivers offering this data rate within this band are available off-the-shelf from vendors such as Western Multiplex Corporation (Models Lynx DS-3, Tsunami 100BaseT), and DMC Stratex Networks (Model DXR700 and Altium 155). The digital radios are licensed under FCC Part 101 regulations. The microwave antennas are Cassegrain dish antennas of 24-inch diameter. At this diameter, the half-power beam width of the dish antenna is 3.0 degrees, and the full-power beam width is 7.4 degrees, so the risk of interference is higher than for MMW

antennas. To compensate this, the FCC allocates twelve separate transmit and twelve separate receive channels for spectrum coordination within the 10.7-11.7 GHz band. Sensing of a millimeter wave link failure and switching to redundant microwave channel is an existing automated feature of the network routing switching hardware available off-the-shelf from vendors such as Cisco, Foundry Networks and Juniper Networks.

The reader should understand that in many installations the provision of a backup system will not be justified from a cost-benefit analysis depending on factors such as costs, distance between transmitters, quality of service expected and the willingness of customers to pay for continuing service in the worse weather conditions.

### Narrow Beam Width Antennas

The narrow antenna beam widths afforded at millimeter-wave frequencies allow for geographical portioning of the airwaves, which is impossible at lower frequencies. This fact eliminates the need for band parceling (frequency sharing), and so enables wireless communications over a much larger total bandwidth, and thus at much higher data rates, than were ever previously possible at lower RF frequencies.

The ability to manufacture and deploy antennas with beam widths narrow enough to ensure non-interference, requires mechanical tolerances, pointing accuracies, and electronic beam steering/tracking capabilities, which exceed the capabilities of the prior art in communications antennas. A preferred antenna for long-range communication at frequencies above 70 GHz has gain in excess of 50 dB, 100 times higher than direct-broadcast satellite dishes for the home, and 30 times higher than high-resolution weather radar antennas on aircraft. However, where interference is not a potential problem, antennas with dB gains of 40 to 45 may be preferred.

Most antennas used for high-gain applications utilize a large parabolic primary collector in one of a variety of geometries. The prime-focus antenna places the receiver directly at the focus of the parabola. The Cassegrain antenna places a convex hyperboloidal secondary reflector in front of the focus to reflect the focus back through an aperture in

the primary to allow mounting the receiver behind the dish. (This is convenient since the dish is typically supported from behind as well.) The Gregorian antenna is similar to the Cassegrain antenna, except that the secondary mirror is a concave ellipsoid placed in back of the parabola's focus. An offset parabola rotates the focus away from the center of the dish for less aperture blockage and improved mounting geometry. Cassegrain, prime focus, and offset parabolic antennas are the preferred dish geometries for the MMW communication system.

A preferred primary dish reflector is a conductive parabola. The preferred surface tolerance on the dish is about 15 thousandths of an inch (15 mils) for applications below 40 GHz, but closer to 5 mils for use at 94 GHz. Typical hydroformed aluminum dishes give 15-mil surface tolerances, although double-skinned laminates (using two aluminum layers surrounding a spacer layer) could improve this to 5 mils. The secondary reflector in the Cassegrainian geometry is a small, machined aluminum "lollipop" which can be made to 1-mil tolerance without difficulty. Mounts for secondary reflectors and receiver waveguide horns preferably comprise mechanical fine-tuning adjustment for in-situ alignment on an antenna test range.

#### Flat Panel Antenna

Another preferred antenna for long-range MMW communication is a flat-panel slot array antenna such as that described by one of the present inventors and others in U.S. Patent No. 6,037,908, issued 14 March 2000, which is hereby incorporated herein by reference. That antenna is a planar phased array antenna propagating a traveling wave through the radiating aperture in a transverse electromagnetic (TEM) mode. A communications antenna would comprise a variant of that antenna incorporating the planar phased array, but eliminating the frequency-scanning characteristics of the antenna in the prior art by adding a hybrid traveling-wave/corporate feed. Flat plates holding a 5-mil surface tolerance are substantially cheaper and easier to fabricate than parabolic surfaces. Planar slot arrays utilize circuit-board processing techniques (e.g. photolithography), which are inherently very precise, rather than expensive high-precision machining.

## Coarse and Fine Pointing

Pointing a high-gain antenna requires coarse and fine positioning. Coarse positioning can be accomplished initially using a visual sight such as a bore-sighted rifle scope or laser pointer. The antenna is locked in its final coarse position prior to fine-tuning. The fine adjustment is performed with the remote transmitter turned on. A power meter connected to the receiver is monitored for maximum power as the fine positioner is adjusted and locked down.

At gain levels above 50 dB, wind loading and tower or building flexure can cause an unacceptable level of beam wander. A flimsy antenna mount could not only result in loss of service to a wireless customer; it could inadvertently cause interference with other licensed beam paths. In order to maintain transmission only within a specific “pipe,” some method for electronic beam steering may be required.

## Beam Steering

Phased-array beam combining from several ports in the flat-panel phased array could steer the beam over many antenna beam widths without mechanically rotating the antenna itself. Sum-and-difference phase combining in a mono-pulse receiver configuration locates and locks on the proper “pipe.” In a Cassegrain antenna, a rotating, slightly unbalanced secondary (“conical scan”) could mechanically steer the beam without moving the large primary dish. For prime focus and offset parabolas, a multi-aperture (e.g. quad-cell) floating focus could be used with a selectable switching array. In these dish architectures, beam tracking is based upon maximizing signal power into the receiver. In all cases, the common aperture for the receiver and transmitter ensures that the transmitter, as well as the receiver, is correctly pointed.

The microwave backup links operate at approximately eight times lower frequency (8 times longer wavelength) than the millimeter wave link. Thus, at a given size, the microwave antennas have broader beam widths than the millimeter-wave antennas, again wider by about 8 times. A typical beam width from a 2-foot antenna is about 7.5 degrees. This angle is wider than the angular separation of four service customers from the relay

tower and it is wider than the angular separation of the beam between the relay station and the radio antenna. Specifically, the minimum angular separation between sites serviced from the relay station is 1.9 degrees. The angular separation between receivers at radio antenna tower 79 and relay station 76 is 4.7 degrees as seen from a transmitter at facility 70. Thus, these microwave beams cannot be separated spatially; however, the FCC Part 101 licensing rules mandate the use of twelve separate transmit and twelve separate receive channels within the microwave 10.7 to 11.7 GHz band, so these microwave beams can be separated spectrally. Thus, the FCC sponsored frequency coordination between the links to individual sites and between the links to the relay station and the radio antenna will guarantee non-interference, but at a much reduced data rate. The FCC has appointed a Band Manager, who oversees the combined spatial and frequency coordination during the licensing process.

#### Other Wireless Techniques

Any millimeter-wave carrier frequency consistent with U.S. Federal Communications Commission spectrum allocations and service rules, including MMW bands currently allocated for fixed point-to-point services at 57-64 GHz, 71-76 GHz, 81-86 GHz, and 92-100 GHz, can be utilized in the practice of this invention. Likewise any of the several currently-allocated microwave bands, including 5.2-5.9 GHz, 5.9-6.9 GHz, 10.7-11.7 GHz, 17.7-19.7 GHz, and 21.2-23.6 GHz can be utilized for the backup link. The modulation bandwidth and modulation technique of both the MMW and microwave channels can be increased, limited again only by FCC spectrum allocations. Also, any flat, conformal, or shaped antenna capable of transmitting the modulated carrier over the link distance in a means consistent with FCC emissions regulations can be used. Horns, prime focus and offset parabolic dishes, and planar slot arrays are all included.

Transmit power may be generated with a Gunn diode source, an injection-locked amplifier or a MMW tube source resonating at the chosen carrier frequency or at any sub-harmonic of that frequency. Source power can be amplitude, frequency or phase modulated using a PIN switch, a mixer or a bi-phase or continuous phase modulator. Modulation can take the form of simple bi-state AM modulation, or can involve more

than two symbol states; e.g. using quantized amplitude modulation (QAM). Double-sideband (DSB), single-sideband (SSB) or vestigial sideband (VSB) techniques can be used to pass, suppress or reduce one AM sideband and thereby affect bandwidth efficiency. Phase or frequency modulation schemes can also be used, including simple FM, bi-phase, or quadrature phase-shift keying (QPSK). Transmission with a full or suppressed carrier can be used. Digital source modulation can be performed at any date rate in bits per second up to eight times the modulation bandwidth in Hertz, using suitable symbol transmission schemes. Analog modulation can also be performed. A monolithic or discrete-component power amplifier can be incorporated after the modulator to boost the output power. Linear or circular polarization can be used in any combination with carrier frequencies to provide polarization and frequency diversity between transmitter and receiver channels. A pair of dishes can be used instead of a single dish to provide spatial diversity in a single transceiver as well.

The MMW Gunn diode and MMW amplifier can be made on indium phosphide, gallium arsenide, or metamorphic InP-on-GaAs. The MMW amplifier can be eliminated completely for short-range links. The mixer/downconverter can be made on a monolithic integrated circuit or fabricated from discrete mixer diodes on doped silicon, gallium arsenide, or indium phosphide. The phase lock loop can use a microprocessor-controlled quadrature (I/Q) comparator or a scanning filter. The detector can be fabricated on silicon or gallium arsenide, or can comprise a heterostructure diode using indium antimonide.

The backup transceivers can use alternative bands 5.9-6.9 GHz, 17.7-19.7 GHz, or 21.2-23.6 GHz; all of which are covered under FCC Part 101 licensing regulations. The antennas can be Cassegrainian, offset or prime focus dishes, or flat panel slot array antennas, of any size appropriate to achieve suitable gain.

#### Prefabricated Cellular Base Station

In a preferred embodiment a prefabricated base station is provided for quick and easy installation on commercial building roof-tops. All of the components of the base station as described above are pre-assembled in the prefabricated station. These components

include the cellular transceiver for communication with users and the millimeter wave transceiver for operation as a part of the trunk line as described above.

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While the above description contains many specifications, the reader should not construe these as a limitation on the scope of the invention, but merely as exemplifications of preferred embodiments thereof. For example, 71.0-76 GHz and 81.0 to 86 GHz bands would work very well for point to point trunk lines instead of the 91-93 GHz band. The described trunk line is especially useful in those locations where fiber optics communication is not available and the trunk line distances between communications sites are less than about 15 miles but longer than the distances that could be reasonably served with free space laser communication devices. Trunk line ranges of about 1 mile to about 10 miles are ideal for the application of the present invention. However, in regions with mostly clear weather the system could provide good service to distances of 20 miles or more. The multi-beam cellular communication system could utilize conventional trunk lines such as fiber optics instead of the millimeter wave trunk line for providing the links to and from each base station and to and from the central office. Accordingly the reader is requested to determine the scope of the invention by the appended claims and their legal equivalents, and not by the examples given above.